

1 amount received Payments to the University shall be
2 made in full in case of resignation, and through salary
3 deductions in other cases. Grantees shall also be
4 subject to such other sanctions prescribed by pertinent
5 University rules. Furthermore, they shall be
6 disqualified from all university grants.
7

8 7 Intellectual Property Rights
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10 7 1 The UP Press shall have first option on the manuscript
11 should the author wish to publish it.
12

13 7 2. Publications and other outputs produced in the course
14 of or as a result of the fellowships shall be bound by
15 University policy on Intellectual Property Rights.
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18 **Board action: APPROVAL.**
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21 **E. Revision of the International Publication Award**
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23 **Improving incentives for UP researchers and units through**
24 **the International Publication Awards (IPAs)**
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26 *SITUATIONER ON IPAs*
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28 The UP International Publication Award (IPA) has been a
29 major driving force for increasing international, peer-reviewed,
30 high quality publications in UP in the last few years.
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32 Presently, only faculty and researchers employed by UP
33 are qualified to receive this award Undergraduate and
34 graduate thesis students, research associates, collaborators
35 and consultants, who contribute to the new knowledge,
36 discoveries and innovations generated in a research project
37 that are reported in a publication, are not entitled to an IPA
38 because they are not employed by UP This has resulted in the
39 demoralization or reduced enthusiasm of young researchers

1 and students who otherwise would be more inclined to pursue
2 research, and attain MS and PhD degrees in UP

3
4 While UP faculty and senior REPS conceptualize and
5 lead research projects, foreign-based Filipino researchers
6 contribute important state-of-the-art technology and global
7 perspectives to local research, and the rank and file of young
8 researchers, some of whom are hired through externally funded
9 projects, conduct the experiments and implement the research
10 project.

11
12 **BACKGROUND AND RATIONALE FOR REVISIONS:**

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14 There is a need for proper recognition and fair attribution
15 in an international publication of the research contributions of
16 all members of a research team. There is a need:

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18 1 to provide more incentives to young students and
19 researchers who are embarking on a research career and
20 who have contributed to new knowledge generated
21 through projects conducted in UP
22
23 2. to recognize the support provided by a UP unit to its
24 researchers
25
26 3. for wide public dissemination of the international
27 publications of UP
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29 Under the new UP charter, UP is envisioned to
30 significantly strengthen its research culture and increase
31 nationally relevant research output. President Pascual's team,
32 through the OVPAA, is crafting a new research agenda in the
33 next 6 years based on

- 34
35 1 **new research priorities**, which will include
36 interdisciplinary research programs that translate to
37 public good and are responsive to national and global
38 issues; research projects that generate new fundamental
39 knowledge about man and nature to provide new
40 paradigms for solving problems, research projects that

1 will translate to techno- and entrepreneurship
2 opportunities for UP researchers.

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4 **2. a new research process**, which will include efficient
5 administration and monitoring of research operations,
6 external technical review of research proposals and
7 output; longer term and more substantive financial
8 support for research personnel, equipment and operating
9 expenses of integrated research programs.

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11 **3. new research incentives**, which will include research
12 track and development grants for young faculty; public
13 recognition, competition and awards for researchers,
14 creation of centralized research equipment facilities and
15 an enabling research environment; and **the expansion**
16 **of the coverage of the International Publication**
17 **Awards (IPAs).**

18 19 **PROPOSED REVISED PRINCIPLE OF THE IPA:**

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21 It is proposed that all Filipino faculty members, REPS,
22 project researchers and thesis students who indicate UP as
23 their affiliation in an international publication, whether employed
24 or not employed by UP, should qualify for a pro-rated share of
25 the IPA. Further, it is proposed that a UP unit that is indicated
26 as the affiliate institution of the Filipino author receive an IPA. It
27 is proposed that UP's international publications be publicized
28 within the UP community, nationally and internationally, to
29 contribute to the national and global relevance and stature of
30 UP

31 32 33 **PROPOSED REVISION FOR GRANTING AND PUBLICIZING** 34 **THE IPAs:**

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36 1 OVPAA will continue to grant IPAs for international
37 Thomson-Reuters-listed and SCI-indexed publications.
38 OVPAA will establish a time-bound, online paper view
39 system for IPA application, evaluation, processing and
40 awarding

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2. The new OVPAA template for an IPA application requires information on the international publication, including all Filipino authors affiliated with UP. The information will be attested to by the UP-employed authors and their immediate supervisors, the directors or chairs of their units. The signatures of the dean and chancellor will not be required.
 3. The abstracts of all awarded publications will be publicized and posted online in the OVPAA academic portal. As a prerequisite for the release of the monetary award, the UP authors will be required to prepare a short simplified summary of the publication - that can be understood by the academic community and the general public.

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**PROPOSED REVISION FOR FUNDING AND
DISBURSEMENT OF THE IPAs:**

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1. The maximum amount of the IPA remains at P55,000. The IPA Fund will continue to be the source of the IPA awards of UP-employed authors.
 2. It is proposed that the IPA Fund will also be the source of funds for the IPA of all other UP-affiliated, non UP-employed, authors. Each UP-affiliated author will receive a pro-rated share of the IPA equal to P55,000 divided by the total number of authors.
 3. It is proposed that the IPA Fund will also be used as the source of funds for the IPA of the UP affiliate units of the UP authors. The proposed maximum amount of the IPA for UP units is P15,000. Each UP affiliate unit will receive a pro-rated share of the IPA equal to P15,000 multiplied by the number of authors affiliated with the unit divided by the total number of authors.
 4. Based on the record of IPAs from January to June 2011 and the estimate of total UP-affiliated, non-UP employed,

1 authors and UP affiliate units (Annex 4), the estimated
2 additional funds to be paid out by the IPA Fund is
3 P1,608,000 a year
4

- 5 5. The IPA to UP-employed authors will continue to be
6 deposited in their UP-linked bank accounts. The OVPAA
7 will sign a contract with the UP unit which has an
8 international publication indicating that the IPAs will be
9 paid to the unit and the UP-affiliated, non-UP-employed
10 authors, IPA amounts computed as described above, for
11 conducting the research that resulted in an international
12 publication of UP
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15 **Board action: APPROVAL.**
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18 **F. Institution of UP Research Process Program**
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20 **Reinventing research in UP**
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22 Research in UP has become more productive in the last
23 few years based on the increasing number of peer-reviewed
24 publications. This can be attributed to new sources of funding,
25 more protected time from teaching (deloading) for research
26 among faculty, and research incentives such as the
27 International Publication Award, among others. There are
28 already models of research successes in UP that can be
29 emulated.
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31 To further increase the productivity of UP researchers,
32 and the production of MS and PhD graduates in UP,
33 improvements in the research process are being proposed. The
34 new paradigm for research would lead to a faster rate, higher
35 quality and greater quantity of publications, as well as to
36 applications, business development and technology transfer of
37 research findings, or to translation to public good on the
38 national or community level This will involve improved
39 administrative efficiency, increased collaboration among